

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Akira MATSUMOTO and Masashi FUKUYAMA

Ser. No.: 10/036,325

Art Unit: 1772

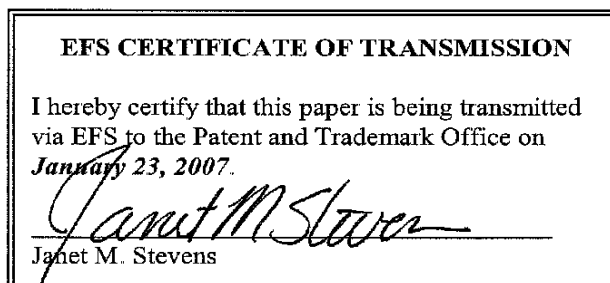
Filed: December 26, 2001

Examiner: Alicia Ann Chevalier

Conf. No.: 3228

For: RIBBONED POLARIZATION-MAINTAINING FIBER AND MANUFACTURING
METHOD THEREFOR, AND POLARIZATION-MAINTAINING OPTICAL FIBER
ARRAY USING THE SAME

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450



**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97(d)**

**TIME OF TRANSMITTAL OF ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

1. The information disclosure statement transmitted herewith is being filed *after*:

- (1) a final action under § 1.113,
- (2) a notice of allowance under § 1.311, or
- (3) an Office Action that closes prosecution ,

but before, or simultaneously with, the payment of the issue fee.

STATEMENT AND FEE

2. In accordance with the requirements of 37 C.F.R. 1.97(d):

A. Accompanying this transmittal is a statement, as specified in 37 C.F.R. 1.97(e).

B. Applicant(s) submit(s) the submission fee set forth in § 1.17(p) (\$180.00).

METHOD OF PAYMENT OF FEE

3. ☐ Attached is a check in the amount of \$.
☐ Charge Account 50-1446 in the amount of _____.
A duplicate of this paper is attached.
☒ The fees associated with this paper are being paid electronically with this submission.

If any additional fees are due, please charge Deposit Account 50-1446.

Respectfully submitted,



Stephen P. Burr
Reg. No. 32,970

January 23, 2007

Date

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Practitioner's Docket No.: 791_181

PATENT

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In re patent application of: Akira MATSUMOTO and Masashi FUKUYAMA

Serial No.: 10/036,325

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EFS CERTIFICATE OF TRANSMISSION

I hereby certify that this paper is being transmitted
via EFS to the Patent and Trademark Office on
January 23, 2007.

Janet M. Stevens
Janet M. Stevens

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

Sir:

☒ Each item of information contained in the Information Disclosure Statement filed herewith was *first* cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

OR

☐ No item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

January 23, 2007

Date

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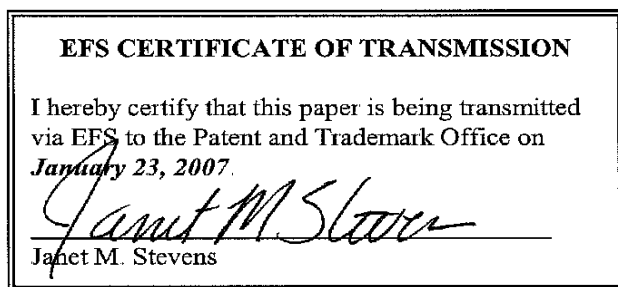
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INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference listed on the attached Form PTO-1449.

Also enclosed is a copy of an Office Action from a corresponding European patent application bearing a mailing date of December 28, 2006, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein. The remaining references cited in the European Office Action were previously cited as References AD, AE and AG in an Information Disclosure Statement filed April 26, 2002 in the above-identified application.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this

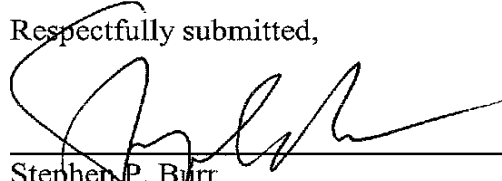
application, and that this reference be made of record therein and appear among the
"References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with
this communication or credit any overpayment to Deposit Account No. 50-1446.

January 23, 2007

Date

Respectfully submitted,



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Form PTO-1449 US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	10/036,325
				Filing Date	December 26, 2001
				First Named Inventor	Akira MATSUMOTO
				Art Unit	1772
				Examiner Name	Alicia Ann Chevalier
				Confirmation No.	3228
Sheet	1	of	1	Attorney Docket No.	791 181

U.S. PATENT DOCUMENTS

Exam Initial		Document Number	Date	Name	Our Docket No.	Class	Sub Class	Filing Date
	AW	5,672,008	09/30/1997	Bonniau et al.				

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub Class	Translation	Abstract

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages etc.)

Examiner:		Date Considered:	
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.